



02/13/01

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## REQUEST FOR FILING NATIONAL PATENT APPLICATION

Under 35 USC 111(a) and Rule 53(b)

PATENT APPLICATION

Hon. Commissioner of Patents  
Washington, D.C. 20231

WITH SIGNED DECLARATION

NONPROVISIONAL  
NON REISSUE  
NON PCT NAT PHASE



00909

jc962 U.S. PTO

09/781311



02/13/01

Sir:

Herewith is the PATENT APPLICATION of  
Inventor(s): ANAND et al.

Title METHODS

Atty. Dkt.: P 277090 | PHM70667/US  
M# Client Ref

Date: February 13, 2001

including:

1. Specification: 29 pages (only spec. and claims)
2. ☐ Specification in non-English language
3. Declaration ☒ Original ☐ Facsimile/Copy ☒ Abstract 1 page(s); 18 numbered claims
4. ☐ Drawings:        sheet(s) ☐ informal; ☐ formal of size: ☐ A4 ☐ 11"
5. ☐ See top first page re prior Provisional, National or International application(s). ("X" box only if info is there and do not complete corresponding item 5 or 6). (Prior M#        SN       )
6. AMEND the specification please by inserting before the first line: -- This is a ☐ Continuation-in-Part ☐ Divisional ☐ Continuation ☐ Substitute Application (MPEP 201.09) of:  
6(a) ☐ National Appln. No.        /        filed        (M#       )  
6(b) ☐ International Appln. No.        filed         
which designated the U.S., and that International Application ☐ was ☐ was not published under PCT Article 21(2) in English.--
7. ☐ AMEND the specification by inserting before the first line: -- This application claims the benefit of U.S. Provisional Application No. 60/       , filed        --
8. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
9. ☐ Prior application is assigned to

by Assignment recorded        Reel        Frame       

10. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in Great Britain (country)

11.

Application No.	Filing Date	Application No.	Filing Date
(1) 0003553.5	February 17, 2000	(2) 0008376.6	April 6, 2000
(3)		(4)	
(5)		(6)	
(7)		(8)	
(9)		<input type="checkbox"/> See 3 <sup>rd</sup> page for additional priorities	

12. 2 (No.) Certified copy (copies): ☒ attached; ☐ previously filed (date)         
in U.S. Application No.        /        filed on
13. Small entity status ☒ is not claimed; ☐ is claimed (Pre-filing confirmation required)
- 13(a). ☐ Attached:        (No.) Small Entity Statement(s) (since 9/8/00 small entity statement(s) not essential to make claim)

14. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(4)	
(2)		(5)	
(3)		(6)	

15. ☐ This application is being filed under Rule 53(b)(2) since an inventor is named in the enclosed Declaration who was not named in the prior application.

16. ☒ Attached: Form PTO-1449 and a copy of the cited documents and Sequence Listing (4 pages paper version)

17. ☐ Preliminary Amendment:

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

				Large/Small Entity		Fee Code
18. Basic Filing Fee				\$710/\$355	\$710	101/201
19. Total Effective Claims	33	minus 20 =	*13	x \$18/\$9 =	+ 234	103/203
20. Independent Claims	12	minus 3 =	*9	x \$80/\$40 =	+ 720	102/202
*If answer is zero or less, enter "0"						
21. If any proper multiple dependent claim (ignore improper) is present, add (Leave this line blank if this is a reissue application)				+ \$270/\$135	+ 270	104/204
TOTAL FILING FEE ENCLOSED =					\$1934	
22.						
23. If "non-English" box 2 is X'd, add Rule 17(k) processing fee				+ \$130	+ 0	139
24. If "assignment" box 8 is X'd, add recording fee				+ \$40	+ 40	581
25. <input type="checkbox"/> Attached is a Petition/Fee under Rule No.				+ \$130	+ 0	122
TOTAL FEE ENCLOSED =					\$1974	

Our Deposit Account No. 03-3975

Our Order No. 9901

C#

277090

M#



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**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

**This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.**

Pillsbury Winthrop LLP  
Intellectual Property Group

By Atty: Donald J. Bird

Reg. No. 25323

Sig:

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Atty/Sec: DJB/tnl

NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments

PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

03/12/2001 AZERBAI 00000037 09781311

02 FC:101	710.00 OP
03 FC:102	720.00 OP
04 FC:104	270.00 OP
05 FC:199	234.00 OP

Adjustment date: 05/23/2001 Y6IZAM  
03/12/2001 AZERBAI 00000037 09781311  
05 FC:199 -234.00 OP

Repln. Ref: 05/23/2001 Y6IZAM 0012460200  
DAN:033975 Name/Number:09781311  
FC: 704 \$234.00 CR